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Date

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 01-104 Vincent M. Callaghan First Inventor FUEL CELL POWER PLANT EL394337101US

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. stant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents Fee Transmittal Form (e.g., PTO/SB/17) (Scienti en original and a displicate for fee processing) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. 2. (Total Pages (if applicable, all necessary) Specification 11 11 Computer Readable Form (CRF) 3. X Descriptive title of the invention b. Specification Sequence Listing on: Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, ii. 🔲 paper - Relative to sequence stants, a spendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed) Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS - Detailed Description 9. X Assignment Papers (cover sheet & document(s))) .₫ - Claim(s) 37 CFR 3,73(b) Statement Power of Ī - Abstract of the Disclosure 10. Attorney (when there is an assignee) Ų English Translation Document (if applicable) 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Citations Information Disclosure 12. X [Total Pages 5. Oath or Declaration Statement (IDS)/PTO-1449 Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) **Preliminary Amendment** 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) زبرا 14. X Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** 15. Signed statement attached deleting inventor named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet, See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Olvisional Conti Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19, CORRESPONDENCE ADDRESS or XX Correspondence address below Customer Number or Ber Code Laber Gregory P. LaPointe Name BACHMAN & LaPOINTE, P.C. 900 Chapel Street. Suite 1201 Address New Haven Zip Code 06510-2802 City USA Telephone 203-777-6628 203-865-0297 Country 28,395 Gragory P. LaPointe Name (Print/Type) gistration No. (Attorney/Agent) 2 4-18-01

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April 18, 2001

(Daie of Deposit) Lori J. Larson

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **FEE TRANSMITTAL** Application Number Filing Date for FY 2001 Callaghan First Named Inventor Examiner Name Patent fees are subject to annual revision. Group Art Unit 01-104 (\$) 750.00 TOTAL AMOUNT OF PAYMENT Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT The Commissioner is hereby authorized to Marke indicated teasand credit any overpayments to: 3. ADDITIONAL FEES Large Entity Small 02-0184 Fee Paid Fee Fee Fee Fee Code (5) Fee Description Deposit 105 130 205 65 Surcharge - late filling fee or cath Surcharge - late provisional filling fee or cover sheet 127 50 227 25 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 139 130 139 130 Non-English specification Applicant claims small entity status
See 37 CFR 1.27 147 2,520 147 2,520 For filling a request for ex parte reexaminati 112 920° 112 920° Requesting publication of SIR prior to Examiner action 2. Payment Enclosed: Check Credit card Money Other 113 1,840° 113 1,840° Requesting publication of SIR after Examiner action FEE CALCULATION Extension for reply within first month 115 110 215 55 # BASIC FILING FEE 116 390 216 195 Extension for reply within second month Large Entity Small Entity
Fee Fee Fee Fee
Code (5) Code (5) 117 890 217 445 Extension for reply within third month Fee Description Fee Paid 118 1,390 218 695 Extension for reply within fourth month 🕌 101 710 201 355 Utility filing fee 710.00 128 1,890 228 945 Extension for reply within fifth month 108 320 206 160 Design filling fee 119 310 219 155 Notice of Appeal 107 490 207 245 Plant filing fee ____ 108 710 208 355 Reissue filling fee 120 310 220 155 Filing a brief in support of an appeal 114 150 214 75 Provisional filing fee 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to Institute a public use proceeding H SUBTOTAL (1) (\$) 140 110 240 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) Extra Claims 2 -3--143 440 243 220 Design leave fee 144 600 244 300 Plant Issue fee Multiple Dependent 122 130 122 130 Petitions to the Commissioner 123 130 123 130 Petitions related to provisional applications Large Entity Small Entity Submission of Information Disclosure Stmt 126 180 125 180 Fee Fee Fee Fee Code (5) Code (5) Fee Description 40.00 Recording each patent assignment per property (times number of properties) 40 581 40 103 18 203 Claims in excess of 20 Filing a submission after final rejection (37 CFR § 1.129(a)) 146 710 248 355 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid For each additional Invention to be examined (37 CFR § 1.129(b)) 149 710 249 355 Reissue independent claims over original patent 109 80 209 40 179 710 279 355 Request for Continued Examination (RCE) Reisaue claims in excess of 20 and over original patent 110 18 210 169 900 169 900 Request for expedited examination of a design application (\$) 710.00 Other fee (specify) SUBTOTAL (2) SUBTOTAL (3) (\$) 40.00 Reduced by Basic Filing Fee Pald *or number previously paid, if greater, For Reissues, see above Complete (Vapplicable) SUBMITTED BY Telephone 203-777-6628 Name (Print/Type) Gregory P 28,395 LaPo/nte Z 4-18-01 Signature WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time vill vary depending upon the needs of the Individual case. Any comments the amount of time you are required to complete this form should be sent to the Chief Information Cfloer, U.S. Patent and Trademark Office, Washington, D 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patenta, Washington, DC 20231. Express Mail No. EL394337101US I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and Tradamarks, Waunington, D.C. 20231 April 18,2001 Lori J. Larson Tion of Alterney of Signature